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PTO/SB/05 (03-01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1669.007002/MQL/AJK
First Inventor	Evan W. Steeg
Title	Methods for the Diagnosis and Prognosis of Acute Leukemias
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311C97 U.S. P.T.O.  
09/27/01

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 41]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- Oath or Declaration [Total Pages ]  
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76.
- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Authorization under 37 C.F.R. § 1.136(a)(3)  
 Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-Part (CIP)  
 Prior application information: Examiner Taylor, J. of prior application No: 09/726,427  
 Group/Art Unit: 1655

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

Customer No. 26111

 Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.		
	Attorneys at Law		
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Andrea Jo Kamage	Registration No. (Attorney/Agent)	43,703
SIGNATURE	<i>Andrea Jo Kamage</i>		

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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08/27/01

10/12/80  
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MATTHEW J. DOWD\*\*

\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
\*\*REGISTERED PATENT AGENT  
\*\*\*SENIOR COUNSEL

August 27, 2001

Commissioner for Patents  
Washington, D.C. 20231

Box Patent Application

Re: U.S. Continuation Application  
Appl. No. *To Be Assigned*; Filed: Herewith (August 27, 2001)  
(*Cont. of 09/726,427; Filed: December 1, 2000*)  
For: **Methods for the Diagnosis and Prognosis of Acute Leukemias**  
Inventors: Steeg *et al.*  
Our Ref: 1669.0070002/MQL/AJK

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. U.S. Utility Patent Application entitled:

**Methods for the Diagnosis and Prognosis of Acute Leukemias**

and naming as inventors:

Evan W. STEEG  
Stephen R. MISENER  
Edward S. WILLIS  
Gary E. ANDERSON  
Roderick J. DUNNE  
Marc A. LEPAGE

Commissioner for Patents  
August 27, 2001  
Page 2

the application consisting of:

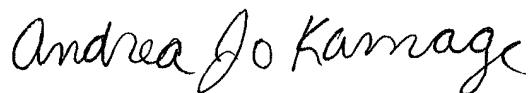
- a. A specification containing:
  - i. 37 pages of description prior to the claims;
  - ii. 3 pages of claims (12 claims);
  - iii. a one (1) page abstract;
2. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
3. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
4. A copy of the executed Declaration filed in Appl. No. 09/726,427;
5. Preliminary Amendment;
6. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
7. Application Data Sheet;
8. Our check No. 32364 for \$355.00 to cover the filing fee (small entity); and
9. Two (2) return postcards.

Commissioner for Patents  
August 27, 2001  
Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Andrea Jo Kamage  
Agent for Applicants  
Registration No. 43,703

MQL/AJK/jk  
Enclosures

P:\USERS\KSACRE\AJKamage\1669\0070002\CvrLtr  
SKGF Rev. 8/1/00 mac

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$355.00)	Attorney Docket No.	1669.0070002/MQL/AJK
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number <input type="text" value="19-0036"/></p> <p>Deposit Account Name <input type="text" value="Sterne, Kessler, Goldstein &amp; Fox P.L.L.C."/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="3"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><input type="checkbox"/></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td><input type="checkbox"/></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td><input type="checkbox"/></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td><input type="checkbox"/></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td><input type="checkbox"/></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td><input type="checkbox"/></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td><input type="checkbox"/></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td><input type="checkbox"/></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td><input type="checkbox"/></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td><input type="checkbox"/></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td><input type="checkbox"/></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td><input type="checkbox"/></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td><input type="checkbox"/></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td><input type="checkbox"/></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td><input type="checkbox"/></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td><input type="checkbox"/></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td><input type="checkbox"/></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td><td><input type="checkbox"/></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td><input type="checkbox"/></td></tr> <tr><td>581</td><td>40</td><td>481</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="checkbox"/></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="checkbox"/></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td><input type="checkbox"/></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td><input type="checkbox"/></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td><input type="checkbox"/></td></tr> <tr> <td colspan="6">Other fee (specify): <input type="text"/></td> </tr> <tr> <td colspan="6">Other fee (specify): <input type="text"/></td> </tr> <tr> <td colspan="6">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="5"></td> <td>SUBTOTAL (3) (\$ 0.00)</td> </tr> </tbody> </table>					Large Entity	Small Entity				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>	139	130	139	130	Non-English specification	<input type="checkbox"/>	147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>	115	110	215	55	Extension for reply within first month	<input type="checkbox"/>	116	390	216	195	Extension for reply within second month	<input type="checkbox"/>	117	890	217	445	Extension for reply within third month	<input type="checkbox"/>	118	1,390	218	695	Extension for reply within fourth month	<input type="checkbox"/>	128	1,890	228	945	Extension for reply within fifth month	<input type="checkbox"/>	119	310	219	155	Notice of Appeal	<input type="checkbox"/>	120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>	121	270	221	135	Request for oral hearing	<input type="checkbox"/>	138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>	140	110	240	55	Petition to revive - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Andrea Jo Kamage	Registration No. (Attorney/Agent)	43,703	Telephone	202-371-2600
Signature	<i>Andrea Jo Kamage</i>			Date	8/27/01

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PAUSERSAKAMAGECASES1669.0071669-007-2-feeftransm-ct.appl.082701

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
Fee Record Sheet

08/20/2001 SZEWIEC 00000017 09938544

01 FCR201 335.00 0P

PTO-1556

(5/87)

\*U.S. GPO: 2000-468-987/39595

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC978 U.S. PTO  
09/938544  
08/27/01

In re application of:

Steeg *et al.*

Appl. No. To be assigned

Filed: Herewith

For: **Methods for the Diagnosis and  
Prognosis of Acute Leukemias**

Confirmation No.

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 1669.0070002

**Authorization To Treat A Reply As Incorporating An Extension Of  
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

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